

反洗錢

為加深持牌人對反洗錢及反恐怖分子資金籌集（「反洗錢」）議題的認知，我們會在《專業天地》這個「反洗錢專區」為大家提供有關反洗錢的不同資訊及最新消息。

To enhance licensees' knowledge on the subject of anti-money laundering ("AML") and counter-terrorist financing ("CTF"), we will provide various information and updates about AML in the "AML Corner".



為確保持牌人獲得有關反洗錢的更新和最準確資訊，監管局在網站上設立了「反洗錢資訊專區」。專區提供全面的反洗錢資訊，讓持牌人可以一次過取得最新資訊。以下是專區的部分重點內容：

- 執業通告（編號23-01）：就減低交易涉及洗錢或恐怖分子資金籌集的風險的必要措施為持牌人提供指引，包括風險評估、客戶盡職審查、備存紀錄及舉報可疑交易。
- 「反洗錢」最新消息：為持牌人提供由本地以及國際組織（如保安局及聯合國安理會）發出有關反洗錢的最新資訊。
- 監管局刊物：提供一系列由監管局編制的宣傳刊物，刊物簡單易明，能加深持牌人對反洗錢的理解，並鼓勵他們遵守有關法例要求。
- 有關「反洗錢」的持續專業進修活動：提供由監管局舉辦有關反洗錢的持續專業進修活動資訊。持牌人可參加進修活動了解反洗錢的最新消息及加深對有關措施的認識。

要知道更多詳情，請瀏覽監管局網站（持牌人專欄 > 「反洗錢」資訊專區）。

To facilitate access to up-to-date and accurate information on AML, the EAA has established an "AML Corner" on the EAA's website. This dedicated section serves as a comprehensive resource hub for licensees to retrieve the latest information all at once. Some highlights in the "AML Corner" include:

- Practice circular No.23-01: This guideline sets out all the necessary measures that licensees must adopt to mitigate money-laundering and terrorist financing, including risk assessment, customer due diligence, record-keeping and reporting suspicious transactions.
- Latest news on AML: This section shares with licensees the latest updates on AML from both local and international organisations, such as the Security Bureau and the United Nations Security Council.
- Publications by the EAA: This section contains a collection of easy-to-understand promotional materials developed by the EAA. These materials aim to enhance licensees' understanding of AML concepts and encourage compliance with the regulatory requirements.
- AML-related CPD Activities: This section provides information on the coming AML-related continuing professional development activities organised by the EAA. These activities offer opportunities for licensees to keep abreast of the latest developments and deepen their knowledge of AML practices.

For more information, please visit the EAA's website (Licensees Corner > Anti-Money Laundering (AML) Corner).