

反洗錢

為加深持牌人對反洗錢及反恐怖分子資金籌集（「反洗錢」）議題的認知，我們會在《專業天地》這個「反洗錢專區」為大家提供有關反洗錢的不同資訊及最新消息。

To enhance licensees' knowledge on the subject of anti-money laundering ("AML") and counter-terrorist financing ("CTF"), we will provide various information and updates about AML in the "AML Corner".



監管局最近出版了一本新小冊子名為《紀律研訊案例選輯 — 第六輯》，旨在透過真實的研訊個案，加強持牌人對處理物業買賣的相關法律及規管要求的認知，以提升地產代理業的服務質素及保障消費者的權益。全新一輯小冊子加入了新題材，包括：「未能遵守反洗錢及反恐怖分子資金籌集規定」及「有關銷售香港境外物業」等等。

局方建議持牌人閱讀相關文章，以了解更多關於反洗錢個案的違規事項，例如：未有對賣方進行客戶盡職審查、未有設立妥善程序或制度以確保遵守反洗錢及反恐怖分子資金籌集指引等。此小冊子已上載於監管局網站（資訊中心 > 監管局刊物 > 紀律研訊案例輯錄）供持牌人閱覽，亦可於監管局辦事處索取實體版本（先到先得）。



<< 掃描閱讀

The EAA recently published a new booklet titled "Inquiry Hearing Cases – A Selection VI" with the aim to enhancing licensees' understanding of the relevant legal and regulatory requirements in handling property transactions through sharing certain real inquiry hearing cases. In this Selection VI, new topics like "Failing to comply with AML/CTF requirements" and "The sale of properties situated outside Hong Kong" were included.

Licensees are advised to read the articles so as to know more about the non-compliant AML cases such as, failing to conduct customer due diligence of the vendor and failing to establish proper procedures or systems to ensure compliance of the AML/CTF guidelines. The booklet has been uploaded to the EAA website (Information Centre > Publications > Collections of inquiry hearing cases) for licensees' reading and printed copies can be obtained at the EAA's office (while stock lasts).



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