

# 持續專業進修講座 CPD Seminar

## 「反洗錢及反恐怖分子資金籌集的規定」

### Requirements on Anti-Money Laundering (“AML”) and Counter-Terrorist Financing (“CTF”)

為加強持牌人對反洗錢及反恐怖分子資金籌集有關法規的認識，監管局於2018年9月至11月期間舉辦下列以講座或放映預錄影片形式進行的持續專業進修活動：



With an aim to improving licensees' understanding of the legal and regulatory requirements in relation to anti-money laundering (“AML”) and counter-terrorist financing (“CTF”), the EAA organised the following face-to-face CPD seminars and video recording sessions between September and November 2018:

- 填寫身分核實表格 – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引
- 填寫身分核實表格 (適用於客戶為法團的情況) – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引
- 管理層及主管行政須知 – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引
- 有問有答 – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引
- How to Complete the Identity Verification Form – Practice Circular No.18-01 (CR) - Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector
- Seminar on “How to Complete the Identity Verification Form (for use where the customer is a corporation) - Practice Circular No. 18-01 (CR) - Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector”
- Points-to-Note for Management - Practice Circular No. 18-01 (CR) - Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector
- All You Can Ask - Practice Circular No. 18-01 (CR) - Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector

席間，監管局代表向持牌人詳細講解在執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引內地產代理就符合客戶盡職審查、備存紀錄和舉報可疑交易的責任。此外，監管局代表亦為持牌人解釋填寫執業通告附件中的身分核實表格所須注意的要點。

有關持續專業進修講座錄得 380 人次參加。監管局未來會繼續舉辦反洗錢及反恐怖分子資金籌集相關的持續專業進修活動，務求確保持牌人獲得反洗錢及反恐怖分子資金籌集相關的知識和最新資訊。

During these seminars, representative of the EAA explained in detail estate agents' responsibilities for customer due diligence, record-keeping and report suspicious transactions described in the EAA's practice circular “Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector (Circular No. 18-01 (CR))”. Also, the points to note in completing the Identity Verification Form annexed to the practice circular were covered.

These CPD seminars on AML/CTF attracted a total of 380 enrolments. As ensuring estate agents' compliance with the regulatory requirements of AML/CTF is one of the EAA's work priorities, CPD activities will continue to be conducted to equip licensees with necessary knowledge and update on AML/CTF.