Estate Agents Authority

Supplementary Form for the Renewal of Estate Agent's Licence (Individual)

Part I – Disclosure of Criminal Conviction Record and Important Notice

(1) To be granted a licence or have your licence renewed, you must be considered a fit and proper person by the Estate Agents Authority (EAA). In this respect, the EAA takes all relevant factors into consideration. The EAA policy on whether licence applicants having criminal conviction is a fit and proper person is set out in www.eaa.org.hk/licensing/criminalpolicye.htm.

(2) You should seek the EAA's help and/or your own legal advice if you do not understand any part of the questions in this form or the prescribed Form 11 **because it is an offence to provide any information which is false or misleading in connection with a licence application.** If you choose not to answer any of the questions, your application may be delayed and/or refused.

(3) If you are not sure whether you have any criminal conviction, you may obtain your criminal conviction record from the Criminal Conviction Data Office of the Hong Kong Police Force (Website: www.police.gov.hk/ppp_en/11_useful_info/ccd.html or Tel: 2860 6557) and/or a "certificate of trial" from the relevant court.

Please note that the Rehabilitation of Offenders Ordinance (Cap. 297) does not apply pursuant to sections 4(1)(c) and 4(2)(b), and you must disclose any spent convictions in this application. *In other words, you must answer "yes" to Question (1) below even if your conviction is considered "spent" under the said Ordinance. If you are not sure of the answer, you should check the "not sure" checkbox.*

(1) Except the offences in the attached List of Minor Offences, have you been convicted of any criminal offence in Hong Kong or elsewhere? ☐ **Yes** ☐ **No** ☐ **Not Sure**

If your answer for the above question is "yes" or "not sure", please provide further information on a separate sheet which should include date of conviction, name of the offence, the punishment (if any) and the court which tried the offence.

(2) Have you been sentenced to imprisonment (including suspended sentence)? ☐ **Yes** ☐ **No**

✧ **I hereby:**

- declare that the foregoing information is full, complete and true to the best of my knowledge and belief.
- declare that I have read and fully understand all the notices, instructions and notes stated in this form (including those listed out in Part III overleaf) and Form 11.
- undertake to notify the EAA in writing of any change to the matters declared in Part I of this form and the Declaration part of Form 11 within 31 days beginning on the date of such change [Note]; and I understand that the EAA may not consider me a fit and proper person to hold a licence if I fail to notify the EAA of the relevant change within the required time period.
- authorize the EAA to verify the information I provide in connection with this application in any manner as it deems fit.
- authorize and consent to the Hong Kong Police Force and/or the relevant court, the Official Receiver's Office and/or Trustee in Bankruptcy, and the Hospital Authority and/or my doctor (if applicable) to release any records they possess to the EAA.

[Note: Applicant may use the form "Notification Form - Changes to Matters Related to Fit and Proper Person (Individual)"available on the EAA website to notify the EAA of the relevant change.]

Name of Applicant	**HKID Card/Travel Document No.**
Signature of Applicant	**Date of Signing (dd/mm/yyyy)**

Part II - Other Application Details

Submission method:	☐ **In person** (or by representative) – Room 2601, 26/F, Hopewell Centre, 183 Queen's Road East, Wanchai, Hong Kong ☐ **By post** – 7/F, E-Trade Plaza, 24 Lee Chung Street, Chai Wan, Hong Kong

Payment details: ☐ $2,010 (12 months estate agent's licence (individual)) ☐ $3,930 (24 months estate agent's licence (individual))

☐ **Cash / EPS** (Pay at any Circle K convenience store by producing the payment slip issued by the EAA after your submission of the application forms at the EAA office in Hopewell Centre (Wanchai))

☐ **Cheque** No. _____ (Cheque should be crossed and made payable to "**Estate Agents Authority**".)*

* Applicant will be responsible for all the bank charges (if any) arising from the cheque being unable to be cashed.

Collection of licence: (If a choice is not indicated, the licence will be sent by ordinary mail.)

☐ Send by ordinary mail ☐ Collect in person or by an authorized representative at the EAA office in Hopewell Centre (Wanchai)

Preferred language of correspondence: ☐ Chinese ☐ English (If a choice is not indicated, correspondence will be in English.)

I am currently working for a licensed estate agent: ☐ Yes ☐ No

If your answer is "yes", please fill in the information of the licensed estate agent below:

Business name of the licensed estate agent:

SPOB / Licence No.:

For official use only

10 **20** **swap**

Part III – Application Notes

Personal Information Collection Statement

Personal data collected in this and subsequent licence applications are to be used for the purpose of processing the applications and may be subject to verification by the Estate Agents Authority (EAA) from other sources. The personal data will also be used for the purpose of enforcing or complying with the provisions of the Estate Agents Ordinance (Cap.511) (EAO), providing appropriate training to licensees and provision of information on matters concerning estate agency practice. The personal data may be transferred to relevant government departments and authorities (including the Hong Kong Police Force and the Independent Commission Against Corruption) in connection with the above purposes. You are reminded that your personal data to be provided to the EAA will be made available for public inspection, including (where applicable) in the provision of the reasons for decisions of inquiry hearings (whether publicized or not) in accordance with the policies prevailing from time to time. The personal data collected are necessary for the processing of licence applications and not providing them may result in the EAA being unable to process the applications. Request for access to and correction of personal data shall be made in writing to the Data Protection Officer of the EAA.

Required Documents

(1) A duly completed and signed supplementary form (i.e. this form); (2) A duly completed and signed Form 11;

Refund and/or Cancellation of Licence Application

(1) Once a licence application has been approved, no cancellation request will be accepted. Refund will only be made to those: (a) whose applications have been refused; (b) who have overpaid the required fees; (c) who cancel their applications before they are approved.

(2) Where a refund is made, the refund will only be in the form of a crossed cheque payable to the applicant. In other words, a refund cheque will not be issued to a third party (except where the applicant is a bankrupt, then the cheque will be issued either to the applicant or to the trustee, depending on the instructions of the trustee).

(3) Pursuant to section 5(2) of the Estate Agents (Licensing) Regulation, if for any reason a licence ceases to be valid, no part of any fee paid for the licence will be refunded.

Performance Pledge

(1) If you have fully completed all application forms, submitted all required documents and paid the required fee, it will normally take 20 working days to have an application processed.

(2) In any event, if the application is made in the prescribed manner (i.e. correct form duly completed and signed, licence fee fully paid, application made no more than 3 months and no less than 1 month prior to expiry of your licence), the validity of your licence will not be interrupted.

(3) If you do not hear from us after 20 working days, you may find out the status of your application by: calling our hotline at 2111 2777 (press 2, 2 after selecting language) or viewing our licence list at www.eaa.org.hk/search/index.html.

Estate Agent Card

(1) If you have been issued with an Estate Agent Card, a new card will be issued upon approval of this application.

(2) If you have not been issued with the Card and would like to have one issued, or would like to replace the photograph to be imprinted on the new Card upon renewal of licence, you may submit the new photograph together with the application forms to the EAA Licensing Section. For identification purpose, please be reminded to write your English name and Chinese name (if any), and licence number on the back of the photograph. You may also send the soft copy of the new photograph to the EAA by email (address: licensing@eaa.org.hk), with your personal particulars stated in the email. The photograph's specification are as follows:

- 40 mm (W) x 50 mm (H)
- Recent colour photograph showing full frontal face with clear facial features
- Size from chin to crown: 32 mm to 36 mm
- Plain white background

(3) The above specifications are the same as those for a HKSAR passport. Licensees may not be issued a card if the specifications of the photograph provided do not meet those stated above.

Communication between EAA and Licensee/Licence Applicant

With a view to facilitating communication and protecting the environment, the EAA will communicate with licensees and licence applicants via e-mail, mobile SMS/MMS or other electronic means in lieu of mailing where appropriate. Certain information on matters concerning estate agency practice (e.g. practice circulars) will **ONLY** be published on the EAA website and drawn to the attention of licensees by electronic means. If you do not wish to receive notices electronically, please let us know or log in your e-Services account www.eaa.org.hk/eServicesEng.htm and manage your subscription preferences. Licensees should visit the EAA website www.eaa.org.hk regularly to obtain such information and familiarize themselves with any requirement which is applicable to them. The EAA will not provide printed copy of such information which is already published on its website. ***Licence applicants who have provided an email address will be notified of the approval in advance.***

Notes

(1) The fact that your application and payment are accepted (including your cheque being encashed) does not mean that a licence will necessarily be granted to you or your licence will necessarily be renewed. A licence will only be granted or renewed if you meet all the licensing requirements under the EAO.

(2) Subject to the EAO, it is an offence for anyone without a licence to be / act as / hold himself out to the public as being a salesperson.

Estate Agents Authority

List of Minor Offences Requiring No Disclosure to the EAA

ANNEX

Licence applicants are **not** required to disclose to the EAA the offences in the list below, ***unless*** he/she has been sentenced to ***imprisonment (including suspended sentence)*** for any of them.

	Offence
Fixed Penalties	
1	All fixed penalties (Payment settled and liabilities not disputed)
Traffic-related offences	
2	Riding / Driving on foot-path
3	Using or caused to be used a vehicle without a 3rd party risks insurance
4	Dangerous / Careless driving (without causing death / grievous bodily harm of another person)
5	Driving, attempting to drive or being in charge of a vehicle with alcohol concentration above prescribed limit
6	Failing to provide a specimen of breath / blood / urine
7	Driving in excess of speed limit
8	Driving or permitting a person to drive without a driving licence
9	Driving / Using a non-registered and non-licensed vehicle
10	Installing non-permitted visual display unit in or on a vehicle
11	Driving or riding as a passenger without wearing a seat belt
12	Driver failing to comply with traffic signals
13	Using a mobile telephone / other communications equipment while driving
14	Failing to keep relevant obligatory lamps lighted during darkness / in poor visibility conditions
15	Failing to stop when an accident occurred whereby damage was caused to another vehicle, an animal or any other thing
16	Crossing double white lines
17	Vehicle entering the bus lane without permission
18	Failing to return original driving licence to the Commissioner for Transport for cancellation
19	Learner driver driving without displaying the prescribed plate
20	Learner driver driving at the time not specified
21	Pedestrian failing to comply with light signal when crossing a carriageway
22	Pedestrian failing to comply with the requirement of traffic signs
23	Failing to comply with the notice of the Commissioner for Transport to attend and complete a driving improvement course
Other offences	
24	Dumping of litter in public places
25	Failing to pay the business registration fee and the levy
26	Dogs not on a leash or under control
27	Traveller failing to give information as required by the health officer
28	Failing to produce proof of identity for inspection as required
29	Riding / Possessing bicycle within country park or special area without consent

- END -

April 2023

FORM 11	**ESTATE AGENTS AUTHORITY** **APPLICATION FOR RENEWAL OF ESTATE AGENT'S LICENCE (INDIVIDUAL)**	FORM 11

Section 55(1)(c) of the Estate Agents Ordinance (Cap. 511) makes it an offence punishable by a fine at level 6 and/or imprisonment for 1 year on conviction upon indictment or by a fine at level 5 and/or imprisonment for 6 months on summary conviction if a person makes any false or misleading statement or furnishes any false or misleading information in connection with an application for the grant or renewal of a licence.

Note: 1) Please '√' in the appropriate box.
2) * Delete as appropriate.

Estate Agent's Licence No.	**Expiry Date** (DD/MM/YYYY)

Validity Period of Licence Applied for ☐ 12 months ☐ 24 months

Name in English (Surname first)	Name in Chinese	H.K.I.D Card/Travel Document* No

Particulars of Applicant

Note: Applicants should provide the information below only where the information is **DIFFERENT** from application last filed.

Educational Level ☐ Completed F. 5 or equivalent ☐ Tertiary or above

Alias

Pass in Qualifying Examination ☐ Estate Agents Examination ☐ Salespersons Examination

Registered Address

_____ _____ _____ _____

Flat/Room Floor Block Name of Building/Estate

_____ _____ HK / KLN / NT*

Number and Name of Street District

Telephone No.	Mobile Phone No.	Fax No.	Email Address

Declaration

Note: Questions 1 to 5 below refer to factors which will be considered in the determination for the renewal of licence. They form an integral part of this form and must be answered.

	Yes	No
1. Are you an undischarged bankrupt or have you within the 5 years immediately prior to the date of this application made a composition or scheme of arrangement with your creditors?	☐	☐
2. Are you a director or officer of a company which is now disqualified under the Estate Agents Ordinance (Cap. 511) for holding a licence, or were you a director or officer of that company at the date when the company was so disqualified?	☐	☐
3. Are you a mentally disordered person or a patient within the meaning of section 2 of the Mental Health Ordinance (Cap. 136)?	☐	☐
4. Have you ever been convicted, whether in Hong Kong or elsewhere, on the basis of any fraudulent, corrupt or dishonest acts?	☐	☐
5. Have you ever been convicted under the Estate Agents Ordinance (Cap. 511) in respect of which you have been sentenced to imprisonment, whether the sentence is suspended or not?	☐	☐

If you have answered 'Yes' to any of the above questions, please give details using additional sheets.

I hereby declare that for each place at which and each business name under which I am to carry on an estate agency business (if any), I have filed as part of this application an application for the grant or renewal of statement of particulars of business (Form 8 or 14), and that all the foregoing information is FULL, COMPLETE AND TRUE to the best of my knowledge and belief and I authorize the Estate Agents Authority to verify the foregoing information in any manner as it deems fit.

10/06/2026
Date (DD/MM/YYYY)

_____
Signature of Applicant

Estate Agents Authority

Renewal Application for Estate Agent's Licence (Individual) / Salesperson's Licence
Checklist for Applicant

Attention: The EAA's performance pledge for processing a ***renewal application*** is **20** working days. Please submit the renewal application no more than 3 months and no less than 1 month prior to expiry of your licence*, or else the commencement date of the validity period of the new licence granted may not begin from the expiry date of your previous licence.

- ☐ **Have you READ all the important notices, instructions and notes stated in the supplementary form and the prescribed form?**
- ☐ **Have you ANSWERED the two questions in relation to your criminal conviction record as stated in the supplementary form?**
- ☐ **Have you SIGNED the supplementary form and the prescribed form, and FILLED in the date you signed the forms, your name and your HKID/travel document number?**
- ☐ **Have your AFFIXED sufficient stamp to ensure that delivery by post is in order (if applicable)?**
- ☐ **Have you SIGNED the cheque, CHECKED the payment details (e.g. amount paid, payee name and date, etc.) and ARRANGED sufficient fund for the relevant current account (if licence fee is paid by cheque)?**

* Unless a shorter period prior to the expiration of the licence is permitted by the EAA in writing

The Estate Agents Authority

Licence Renewal Survey

Name: _____ **Licence Number:** ___ – _____

1. How long have you been practising estate agency work?
   - ☐ Never / Less than 1 year
   - ☐ 1 to 4 years
   - ☐ 5 to 8 years
   - ☐ 9 to 12 years
   - ☐ Over 12 years

2. Are you practising estate agency work now or have you ever practiced estate agency work any time during the past 12 months ?
   - ☐ Yes ➔ Please answer Question 3
   - ☐ No ➔ Please answer Question 8

3. Are you still practising estate agency work now?
   - ☐ Yes ➔ Please answer Question 4
   - ☐ No ➔ Please answer Question 8

4. Which of the following are you currently practising as?
   - ☐ Estate agent / Salesperson of an estate agency
   - ☐ Sole proprietor, partner or director of an estate agency
   - ☐ Employee of a surveying company
   - ☐ Employee of a property developer
   - ☐ Employee of a property management company
   - ☐ Other (please specify): _____

5. In the past year, what were you primarily engaged in handling?
   - ☐ First sale residential properties
   - ☐ Second hand residential properties
   - ☐ Industrial and commercial properties
   - ☐ Other (please specify): _____

6. Apart from estate agency work, are you engaged in any other industries of work?
   - ☐ Yes (please specify): _____ ➔ Please answer Question 7
   - ☐ No ➔ Please answer Question 8

7. What percentage of working time in <u>other industries</u> account for the total working hours?
   - ☐ 50% or more
   - ☐ Less than 50%

8. Are you currently a member of any estate agency trade association(s)?
   - ☐ Yes (please specify): _____
   - ☐ No

9. At present, licence renewal applications can be processed online, would you consider submitting your licence renewal application online?

☐ Yes ☐ No (please specify the reason): _____

10. Would you consider submitting statutory forms online if such submission method has been introduced?

☐ Yes ☐ No (please specify the reason): _____

11. If all licensees are required to fulfil a specified number of hours of training as a condition for licence renewal, in planning your training activities, how much could you afford for each hour of learning on average?

☐ HK$100 - $200 ☐ HK$201 - $300

☐ HK$301 - $400 ☐ Above HK$400

12. If all licensees are required to fulfil a specified number of hours of training as a condition for licence renewal, what will be your area(s) of interest? (Please select 7 items from the following 14 options)

Areas closely related to estate agency law and practice, compliance or supervisory issues

☐ Estate Agents Ordinance and EAA guidelines
☐ Management, supervision, governance and due diligence of estate agency business
☐ Land search
☐ Surveying, property / facilities management and town planning
☐ Professional ethics
☐ Other legal and practical knowledge relating to estate agency work

Other activities conducive to raising the general standard of estate agency practitioners

☐ Marketing skills and techniques
☐ Information technology
☐ Finance and accounting knowledge
☐ Language skills
☐ Business management in general
☐ Estate agency practice in other jurisdictions
☐ Socio-economic issues
☐ Other (please specify): _____

13. How many CPD points per year do you think the "Mandatory CPD Scheme" ultimately should be expanded to? (1 CPD Point = 1 hour)

☐ 6 points per year ☐ 8 points per year

☐ 10 points per year ☐ More than 12 points per year

14. If you are not a new licensee subject to "Phase I Mandatory CPD Scheme" now, would you renew your licence in future if all existing licensees are required to fulfil a specified number of hours of training as a condition for licence renewal?

☐ Yes ☐ No

☐ Not applicable (already a new licensee subject to "Phase I Mandatory CPD Scheme")

< End of Survey – Thank you >