

持續專業進修講座一

地產代理業遵守反洗錢及反恐怖分子資金籌集的規定

CPD Seminars on Anti-Money Laundering (“AML”) and Counter-Terrorist Financing (“CTF”)

為加強持牌人對監管局就「有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引」而發出的執業通告 18-01(CR) 的認識，並了解他們在打擊洗錢及 / 或打擊恐怖分子資金籌集方面的責任及相關規定，監管局舉辦了一系列持續專業進修講座。

監管局於 2018 年 5 月 28 日、6 月 22 日和 7 月 31 日分別於香港、九龍和新界舉辦了題為「填寫身分核實表格 – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引」的講座，並於 2018 年 7 月 13 日及 8 月 22 日舉辦了兩場以放映預錄影片形式進行的同類講座。席間，監管局代表向持牌人詳細講解執業通告 18-01(CR) 的內容、有關地產代理就符合《打擊洗錢及恐怖分子資金籌集條例》的客戶盡職審查和備存紀錄的規定及於舉報可疑交易上的法律責任。

監管局於 2018 年 8 月 29 日再舉辦了一個以「有問有答 – 執業通告 18-01(CR) 有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引」為題的講座。監管局代表分享及回答持牌人就符合執業通告 18-01(CR) 相關要求提出的問題，以協助持牌人加深對相關指引的理解。

以上六場持續專業進修講座合共有 440 人次參加。監管局未來會繼續舉辦相關講座，務求令更多持牌人明白相關法例的規定和要求。

To enhance licensees' understanding of the latest EAA's practice circular on the compliance of anti-money laundering and counter-terrorist financing requirements for the estate agency sector and estate agents' responsibilities relating to anti-money laundering and counter-terrorist financing, the EAA organised a series of AML/CTF CPD Seminars.

Three CPD Seminars titled “Complete the Identity Verification Form – Circular No. 18-01 (CR) – Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector” were held on 28 May 2018, 22 June 2018 and 31 July 2018 in Hong Kong Island, Kowloon and the New Territories. In addition, two video recording sessions of the same seminar were held on 13 July and 22 August 2018 at the EAA. During these seminars, representative of the EAA explained in detail the relevant guidelines on customer due diligence and record-keeping requirements under the Anti-Money Laundering and Counter-Terrorist Financing Ordinance as well as the legal obligations of estate agents to report suspicious transactions.

Another CPD seminar titled “All You Can Ask – Circular No. 18-01 (CR) – Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector” was held on 29 August 2018. Representative of the EAA answered licensees' questions on practicing issues related to the said circular, in a bid to strengthening their understanding of the relevant guidelines.

These AML CPD seminars attracted a total of 440 enrolments. Similar AML seminars will continue to be conducted to ensure all licensees are conversant with the AML/CTF requirements.

