

持續專業進修講座 CPD Seminar

「遵守反洗錢及反恐怖分子資金籌集規定」

Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements



監管局發出一份執業通告：通告編號 18-01(CR) - 「有關地產代理業遵守反洗錢及反恐怖分子資金籌集規定的指引」，提供予持牌人實務導引以協助他們及其管理層在業務上符合《打擊洗錢及恐怖分子資金籌集條例》附表 2 下的打擊洗錢及 / 或打擊恐怖分子資金籌集的相關規定。

為促使地產代理從業員理解他們在打擊洗錢及 / 或打擊恐怖分子資金籌集方面的責任，監管局於 2018 年 3 月 29 日舉辦了兩場分別以英語及廣東話授課，題為「遵守反洗錢及反恐怖分子資金籌集規定」的持續專業進修講座。席間，保安局禁毒處及聯合財富情報組的代表向持牌人講解地產代理就有關客戶盡職審查和備存紀錄的規定以及於舉報可疑交易上的法律責任。監管局代表亦向持牌人詳細講解執業通告：通告編號 18-01(CR) 的指引。這兩場持續專業進修講座合共有 367 人次參加。

The EAA issued a new practice circular, “Circular No. 18-01 (CR) – Guidelines on Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements for the Estate Agency Sector”, to provide a practical guidance to assist licensees and their management in compliance with relevant requirements under Schedule 2 to the Anti-Money Laundering and Counter-Terrorist Financing Ordinance.

To enable estate agency trade practitioners to understand their responsibilities in respect of anti-money laundering and counter-terrorist financing, two Continuing Professional Development (“CPD”) seminars titled “Compliance of Anti-Money Laundering and Counter-Terrorist Financing Requirements” were conducted in English and Cantonese respectively on 29 March 2018.

At the seminars, representatives of the Security Bureau and the Joint Financial Intelligence Unit of the Hong Kong Police Force briefed licensees on the customer due diligence and record-keeping requirements for estate agents and their legal obligations to report suspicious transactions. Furthermore, representative of the EAA explained in detail during the seminars the EAA’s guidelines set out in Circular No. 18-01 (CR). These two CPD seminars attracted 367 enrolments in total.