

持續專業進修講座

CPD SEMINAR

企業管理與內部控制 — 概述及於地產代理業的實施

CORPORATE GOVERNANCE AND INTERNAL CONTROL – AN OVERVIEW AND APPLICATIONS FOR THE ESTATE AGENCY INDUSTRY



In order to enhance estate agency practitioners' knowledge regarding corporate governance and internal control, the EAA organised a seminar on the subject on 22 April 2016.

Representatives of Ernst & Young were invited to brief licensees on the basic concept of corporate governance, the relevant policies and principles.

為了提升地產代理從業員就企業管治和內部控制的認識，監管局於2016年4月22日舉辦了相關的講座。

是次講座由安永會計師事務所の代表擔任主講嘉賓，為持牌人講解企業管治的基本概念、相關的政策和原則。

席間，安永會計師事務所の代表為持牌人詳細解釋內部控制和企業管治的基本原則、地產代理就設立有效制度的責任、訂立妥善程序和清晰的員工指引的重要性及有效監察員工操守的實務，並就有關防止洗黑錢、舞弊和合謀，保障個人私隱及申報利益的措施舉例說明，讓參加者更容易瞭解有關的概念以便應用於日常工作。

講座深受從業員歡迎，有近110名持牌人出席，參加者均對講座給予正面評價。

At the seminar, the representatives of Ernst & Young provided detailed explanations to licensees on the basic principles of internal control and corporate governance, responsibilities of estate agents in establishing an effective system, the importance of establishing procedures and clear guidelines for staff to follow and practical ways in monitoring staff conduct effectively. Furthermore, the speakers also quoted a number of examples and illustrative cases relating to the measures in the prevention of money laundering, malpractices and complicity, protection of personal privacy, and declaration of interest to help participants understand the related concepts and apply them in their daily work.

The seminar was well received by about 110 licensees and many participants commented that they highly valued the informative session delivered by the professional speakers.

